

*Proposals and projects in  
the*

*Coordinated Calls*

***FP7-ICT-2011-EU-Russia***

***FP7-ICT-2011-EU-Brazil***

***NCP Meeting***

***Brussels: 23<sup>rd</sup> June, 2010***

*Proposals and projects in  
the coordinated call*

***FP7-ICT-2011-EU-Russia***

# EU Russia Calls

<b>EU Proposal – in English</b>	<b>RU Proposal – In Russian</b>
Part A – admin details for EU partners	Part A – admin details for RU partners
Part B: Work of EU partners	Part B: Work of RU Partners
Annex: Summary of work of RU partners	Annex: Summary of work of EU partners
Annex draft Co-ordination agreement	
Consortium agreement	



# Specific requirements for coordinated call

*FP7-ICT-2011-EU-Russia*

- **The FP7 proposal and the proposal submitted to the Ministry of Education and Science of Russia will have different administrative parts. The FP7 proposal shall in the administrative part only cover the participants to the EC proposal and their budgeted costs.**
- **The Russian participants and their costs and budget are described in the proposal to the Ministry of Education and Science of Russia.**
- **The description of the work (part B) will be different for the two coordinated proposals.**



# Focused projects (STREPs)

## For the EU-RU call

- Purpose: Objective driven research more limited in scope than an IP
- Target audience: Industry incl. SMEs, research institutes, universities
- Typical duration: **24 months**
- Optimum consortium: 3-6 participants
- Total EU contribution: **For this call: MAX: 1.500.000 €**
- Fixed workplan and fixed partnership for duration

# Minimum consortia

- Three independent legal entities from three different EU Member States or Associated countries (presently: Albania (AL), Bosnia-Herzegovina (BA), Croatia (HR), the Faroes (FO), Iceland (IS), Israel (IL), Liechtenstein (LI), FYR of Macedonia (MK), Montenegro (ME), Norway (NO), Serbia (SR), Switzerland (CH), Turkey (TR))
- EEIGs composed of members that meet the criteria above can participate
- International (intergovernmental) organisations can participate
- Participants from third countries if in addition to minima



# Proposal Part A (online)

## A1

- Title, acronym, objective etc.
- free keywords
- 2000 character proposal abstract
- previous/current submission (in FP7)

## A2

- Legal address/administrator address/R&D address
- Clear identification as SME/Public body/Research centre/ Educ. establishment
- Proposer identification code PIC (later calls)

## A3

- More cost detail (direct/indirect costs distinguished)

The image shows a screenshot of the 'Proposal Submission Form A1'. The form is titled 'Proposal Submission Form' and 'A1'. It contains several sections: 'Title of the proposal', 'Acronym', 'Objective', 'Keywords', and 'Abstract'. The 'Abstract' section is a large text area. The form is part of the 'Seventh Framework Programme' and is used for submitting proposals.

# Specific requirements for coordinated call

## Part A

*FP7-ICT-2011-EU-Russia*

### A1

- **Duration: No more than 24 months**
- **Starting date: 1 January, 2011**
- 2000 character proposal abstract: **Including Title and acronym of the coordinated proposal submitted to the Russian Ministry**
- previous/current submission (in FP7): **Include here the acronym of the coordinated proposal to the Russian Ministry**

### A2

- **Only include in this section the participants to the EU call**
- Use Proposer identification code (PIC) if you have it

### A3

- **Total EC contribution for the EU proposal can not exceed € 1.5 m**

# Proposal Part B (pdf format only)

Part B format directly linked to evaluation criteria

Summary

- *S&T quality (bullet points = sections)*
- *Implementation (idem)*
- *Impact (idem)*

Ethics

Section lengths recommended

Part B templates are available through the EPSS

# Specific requirements for coordinated call

## Part B

*FP7-ICT-2011-EU-Russia*

**Part B** should clearly describe the **activities to be funded by the EC** and must also include detailed explanations about the activities to be funded by the Ministry of Education and Science of Russia through the coordinated Russian project and highlight the proposed specific research cooperation actions between the consortia of the two projects. **The overall technical content and proposed activities** (of the two coordinated projects) which are described in the FP7 proposal **should be equivalent** to those mentioned in the proposal submitted to the Ministry of Education and Science of Russia for the Russian coordinated project. **It is required to submit as an annex to the FP7 proposal an outline of the technical annex to the coordinated proposal to the Russian call.**

# Specific requirements for coordinated call

## Part B

FP7-ICT-2011-EU-Russia

**Part B must contain as an Annex a final draft of a required Coordination Agreement** to be signed between the two consortia of two coordinated projects (*see Annex 4 of the Guide*). The content of the Coordination Agreement will not be examined during the evaluation, but should show that the two consortia have already **taken into account how they are going to regulate their cooperation activities, and in particular how they are going to deal with IPR issues in a balanced way, respecting the FP7 Rules of Participation.**

(A specific **Consortium Agreement** is also required for the participants in the EU project; this is not included in the proposal.)

# Specific requirements for coordinated call

## Coordination Agreement

*FP7-ICT-2011-EU-Russia*

<u>1.</u>	<u>INTRODUCTION</u>
<u>2.</u>	<u>PARTIES</u>
<u>3.</u>	<u>PREAMBLE</u>
<u>4.</u>	<u>DEFINITIONS</u>
<u>5.</u>	<u>SUBJECT</u>
<u>6.</u>	<u>TECHNICAL PROVISIONS</u>
<u>6.1.</u>	<u>Tasks of each party</u>
<u>6.2.</u>	<u>Non-financial resources made available</u>
<u>6.3.</u>	<u>Project schedule</u>
<u>6.4.</u>	<u>Changes</u>
<u>7.</u>	<u>COORDINATION AND MANAGERIAL PROVISIONS</u>
<u>7.1.</u>	<u>Co-ordination and management</u>
<u>7.2.</u>	<u>Powers and responsibilities</u>
<u>7.3.</u>	<u>Follow-up and Supervision</u>



# Specific requirements for coordinated call Coordination Agreement

*FP7-ICT-2011-EU-Russia*

<u>8.</u>	<u>FINANCIAL PROVISIONS</u>
<u>8.1.</u>	<u>Financial plan</u>
<u>8.2.</u>	<u>Mutual payments</u>
<u>8.3.</u>	<u>Costs to be claimed under the coordination activities</u>
<u>8.4.</u>	<u>Changes</u>
<u>9.</u>	<u>PROVISIONS REGARDING INTELLECTUAL PROPERTY RIGHTS (IPR), DISSEMINATION AND USE</u>
<u>9.1.</u>	<u>Ownership of foreground</u>
<u>9.2.</u>	<u>Protection of IPR</u>
<u>9.3.</u>	<u>Dissemination/Confidentiality</u>
<u>9.4.</u>	<u>Access rights (licences and user rights)</u>



# Specific requirements for coordinated call Coordination Agreement

*FP7-ICT-2011-EU-Russia*

<u>10.</u>	<u>GENERAL PROVISIONS</u>
<u>10.1.</u>	<u>Entry into force</u>
<u>10.2.</u>	<u>Duration / Termination</u>
<u>10.3.</u>	<u>Amendments to the CoOA</u>
<u>10.4.</u>	<u>Confidentiality</u>
<u>10.5.</u>	<u>Treatment of classified data or information, treatment of dangerous materials</u>
<u>10.6.</u>	<u>Breach / non-compliance and associated liability, indemnification or penalties</u>
<u>10.7.</u>	<u>Survival</u>
<u>10.8.</u>	<u>Partial invalidity</u>
<u>10.9.</u>	<u>Communication</u>
<u>10.10.</u>	<u>Applicable law and jurisdiction</u>
<u>10.11.</u>	<u>Number of copies, languages and signature process</u>



# Eligibility checks

- Date and time of receipt of proposal on or before deadline
  - Firm deadlines - except for Continuously open calls
- Minimum number of eligible, independent partners
  - As set out in work programme/call
- Completeness of proposal
  - Presence of all requested administrative forms (Part A) and the content description (Part B)
- In scope of the call

# Specific requirements for coordinated call Eligibility Criterion

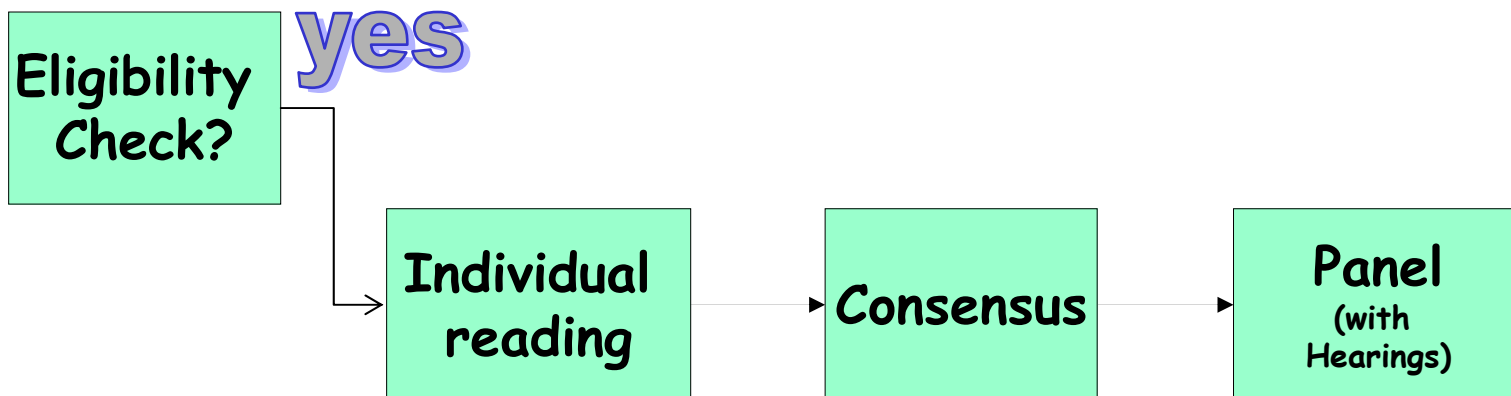
*FP7-ICT-2011-EU-Russia*

Any proposal submitted to the European Commission as a response to the Coordinated Call with Russia **must be coordinated** with a proposal submitted to the Ministry of Education and Science of Russia.

**Proposals which do not include coordination between the FP7 project and a Russian proposal will be considered ineligible.** (*see Annex 2 of Guide for Applicants for this call*) .

# Evaluation process

- On-site evaluation
- Independent experts
- One step evaluation



# Specific requirements for coordinated call Evaluation

*FP7-ICT-2011-EU-Russia*

*The FP7 proposal will be evaluated according to the FP7 rules and the Russian proposal will be evaluated according to Russian rules using in both cases a mixed panel including European and Russian experts.*

# Collaborative projects - Evaluation

## 1. Scientific and technical quality

- Soundness of concept, and quality of objectives
- Progress beyond the state-of-the-art
- Quality and effectiveness of the S & T methodology and associated workplan

# Collaborative projects - Evaluation

## 2. Implementation

- Appropriateness of the management structures and procedures
- Quality and relevant experience of the individual participants
- Quality of the consortium as a whole (including complementarity, balance)
- Appropriate allocation and justification of the resources to be committed (staff, equipment)

# Collaborative projects - Evaluation

## 3. Impact

- Contribution at the European or international level to the expected impacts listed in the workprogramme under the relevant activity
- Appropriateness of measures for the dissemination and/or exploitation of project results, and management of intellectual property

# Specific requirements for coordinated call Evaluation

*FP7-ICT-2011-EU-Russia*

To ensure a genuine EU-Russia cooperation, **priority** in the evaluation will be given to **proposals that demonstrate a balanced effort between the two coordinated projects and a research plan properly involving coordinated research activities between Europe and Russia**. This will be reflected in the evaluation under the criteria "Impact" and "Implementation"; (*see Annex 2 of the Guide for Applicants for this call*).



# Evaluation criteria scoring

- Scale of 1-5 (and 0)
- Criterion threshold 3/5
- Overall threshold 10/15

# Specific requirements for coordinated call Selection of Proposals

FP7-ICT-2011-EU-Russia

- **Up to one proposal per topic will be funded under this call:** That is one proposal for each one of the three topics (A, B, C) implemented via Small or medium scale focused research projects (STREPs).
- **Proposals will only be selected for funding on the condition that their coordinated Russian project will be funded by the Ministry of Education and Science of Russia.** In the event that the highest-ranked proposal under a topic fails in the funding of its coordinated Russian project by the Ministry of Education and Science of Russia, selection will pass to the next ranked proposal etc.

# Timetable of coordinated call

## *FP7-ICT-2011-EU-Russia*

DATE	EC	RU Min. of ED and SCI
30 July 2010	Publish Call	Publish Call
8 September 2010		Close Call
14 September 2010	Close Call	
22-24 September 2010	Evaluation Brussels	
28 September 2010	Send Evaluation Results to RF	
29 September-1 October 2010		Evaluation Russia
<a href="#">8 October 2010[1]</a>		Finalise List of Proposals
11 October 2010	Finalise List of Proposals	
12 October 2010	Meeting to Agree Final Common List of Proposals	
18-28 October 2010		Ready to Sign Contract
End November	Ready to Sign Contract	
1 January 2011	Project Start	Project Start

*Proposals and projects in  
the coordinated call*

***FP7-ICT-2011-EU-Brazil***

# EU Brazil Calls

EU Proposal – in English	BR Proposal – In Portuguese
Part A – admin details for EU partners	Part A – admin details for RU partners
Part B: Common describing the work of all EU and BR participants	
Part B - In English (being evaluated)	Part B - In Portuguese
Annex draft Co-ordination agreement	
Consortium agreement	



# Specific requirements for coordinated call

*FP7-ICT-2011-EU-Brazil*

- The FP7 proposal and the proposal submitted to the Ministry of Science and Technology (MTC) of Brazil will have different administrative parts. The FP7 proposal shall in the administrative part only cover the participants to the EC proposal and their budgeted costs.
- The Brazilian participants and their costs and budget are described in the proposal to the MTC of Brazil.
- The description of the work (part B) will be common for the two coordinated proposals in two languages.

# Focused projects (STREPs)

## For the EU-BR call

- Purpose: Objective driven research more limited in scope than an IP
- Target audience: Industry incl. SMEs, research institutes, universities
- Typical duration: **30 months**
- Optimum consortium: 3-6 participants
- Total EU contribution: **For this call: MAX: 1.500.000 €**
- Fixed workplan and fixed partnership for duration

# Minimum consortia

- Three independent legal entities from three different EU Member States or Associated countries (presently: Albania (AL), Bosnia-Herzegovina (BA), Croatia (HR), the Faroes (FO), Iceland (IS), Israel (IL), Liechtenstein (LI), FYR of Macedonia (MK), Montenegro (ME), Norway (NO), Serbia (SR), Switzerland (CH), Turkey (TR))
- EEIGs composed of members that meet the criteria above can participate
- International (intergovernmental) organisations can participate
- Participants from third countries if in addition to minima



# Proposal Part A (online)

## A1

- Title, acronym, objective etc.
- free keywords
- 2000 character proposal abstract
- previous/current submission (in FP7)

## A2

- Legal address/administrator address/R&D address
- Clear identification as SME/Public body/Research centre/ Educ. establishment
- Proposer identification code PIC (later calls)

## A3

- More cost detail (direct/indirect costs distinguished)

The image shows a screenshot of the 'Proposal Submission Form A1'. The form is titled 'Proposal Submission Form' and 'A1'. It contains several sections: 'Title of the proposal', 'Acronym', 'Objective', 'Keywords', and 'Abstract'. The 'Abstract' section is a large text area. The form is part of the 'Seventh Framework Programme' and is used for submitting proposals.

# Specific requirements for coordinated call

## Part A

*FP7-ICT-2011-EU-Brazil*

### A1

- **Duration: No more than 30 months**
- **Starting date: 2 June, 2011**
- 2000 character proposal abstract: **Including Title and acronym of the coordinated proposal submitted to the MTC**
- previous/current submission (in FP7): **Include here the acronym of the coordinated proposal to the MTC**

### A2

- **Only include in this section the participants to the EU call**
- Use Proposer identification code (PIC) if you have it

### A3

- **Total EC contribution for the EU proposal can not exceed € 1.5 m**

# Proposal Part B (pdf format only)

Part B format directly linked to evaluation criteria

Summary

- *S&T quality (bullet points = sections)*
- *Implementation (idem)*
- *Impact (idem)*

Ethics

Section lengths recommended

Part B templates are available through the EPSS

# Specific requirements for coordinated call

## Part B

*FP7-ICT-2011-EU-Brazil*

**Part B** is common for the two coordinated calls and should clearly describe the **activities by both the EU and Brazilian participants.**

**It is required to submit the part B in both English (for the EU call) and Portuguese (For the Brazilian call).**

# Specific requirements for coordinated call

## Part B

*FP7-ICT-2011-EU-Brazil*

**Part B must contain as an Annex a final draft of a required Coordination Agreement** to be signed between the two consortia of two coordinated projects (*see Annex 4 of the Guide*). The content of the Coordination Agreement will not be examined during the evaluation, but should show that the two consortia have already **taken into account how they are going to regulate their cooperation activities, and in particular how they are going to deal with IPR issues in a balanced way, respecting the FP7 Rules of Participation.**

(A specific **Consortium Agreement** is also required for the participants in the EU project; this is not included in the proposal.)

# Specific requirements for coordinated call

## Coordination Agreement

*FP7-ICT-2011-EU-Brazil*

<u>1.</u>	<u>INTRODUCTION</u>
<u>2.</u>	<u>PARTIES</u>
<u>3.</u>	<u>PREAMBLE</u>
<u>4.</u>	<u>DEFINITIONS</u>
<u>5.</u>	<u>SUBJECT</u>
<u>6.</u>	<u>TECHNICAL PROVISIONS</u>
<u>6.1.</u>	<u>Tasks of each party</u>
<u>6.2.</u>	<u>Non-financial resources made available</u>
<u>6.3.</u>	<u>Project schedule</u>
<u>6.4.</u>	<u>Changes</u>
<u>7.</u>	<u>COORDINATION AND MANAGERIAL PROVISIONS</u>
<u>7.1.</u>	<u>Co-ordination and management</u>
<u>7.2.</u>	<u>Powers and responsibilities</u>
<u>7.3.</u>	<u>Follow-up and Supervision</u>

# Specific requirements for coordinated call

## Coordination Agreement

*FP7-ICT-2011-EU-Brazil*

<u>8.</u>	<u>FINANCIAL PROVISIONS</u>
<u>8.1.</u>	<u>Financial plan</u>
<u>8.2.</u>	<u>Mutual payments</u>
<u>8.3.</u>	<u>Costs to be claimed under the coordination activities</u>
<u>8.4.</u>	<u>Changes</u>
<u>9.</u>	<u>PROVISIONS REGARDING INTELLECTUAL PROPERTY RIGHTS (IPR), DISSEMINATION AND USE</u>
<u>9.1.</u>	<u>Ownership of foreground</u>
<u>9.2.</u>	<u>Protection of IPR</u>
<u>9.3.</u>	<u>Dissemination/Confidentiality</u>
<u>9.4.</u>	<u>Access rights (licences and user rights)</u>



# Specific requirements for coordinated call Coordination Agreement

*FP7-ICT-2011-EU-Brazil*

<u>10.</u>	<u>GENERAL PROVISIONS</u>
<u>10.1.</u>	<u>Entry into force</u>
<u>10.2.</u>	<u>Duration / Termination</u>
<u>10.3.</u>	<u>Amendments to the CoOA</u>
<u>10.4.</u>	<u>Confidentiality</u>
<u>10.5.</u>	<u>Treatment of classified data or information, treatment of dangerous materials</u>
<u>10.6.</u>	<u>Breach / non-compliance and associated liability, indemnification or penalties</u>
<u>10.7.</u>	<u>Survival</u>
<u>10.8.</u>	<u>Partial invalidity</u>
<u>10.9.</u>	<u>Communication</u>
<u>10.10.</u>	<u>Applicable law and jurisdiction</u>
<u>10.11.</u>	<u>Number of copies, languages and signature process</u>



# Eligibility checks

- Date and time of receipt of proposal on or before deadline
  - Firm deadlines - except for Continuously open calls
- Minimum number of eligible, independent partners
  - As set out in work programme/call
- Completeness of proposal
  - Presence of all requested administrative forms (Part A) and the content description (Part B)
- In scope of the call

# Specific requirements for coordinated call Eligibility Criterion

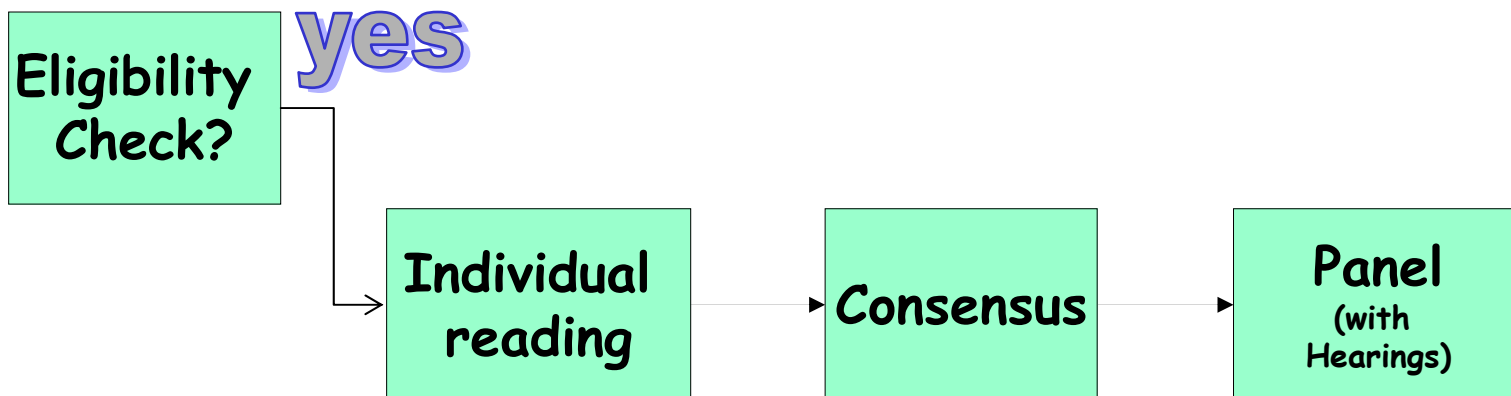
*FP7-ICT-2011-EU-Brazil*

Any proposal submitted to the European Commission as a response to the Coordinated Call with Brazil **must be coordinated** with a proposal submitted to the MTC of Brazil.

**Proposals which do not include coordination between the FP7 project and a Brazilian proposal will be considered ineligible.** (*see Annex 2 of Guide for Applicants for this call*) .

# Evaluation process

- On-site evaluation
- Independent experts
- One step evaluation



# Specific requirements for coordinated call Evaluation

*FP7-ICT-2011-EU-Brazil*

*The English version of the common Part B submitted to the EU call will be evaluated in a common evaluation according to the EU evaluation rules by a common group of evaluators.*

*2 EU evaluators and 2 Brazilian evaluators per proposal*

# Collaborative projects - Evaluation

## 1. Scientific and technical quality

- Soundness of concept, and quality of objectives
- Progress beyond the state-of-the-art
- Quality and effectiveness of the S & T methodology and associated workplan

# Collaborative projects - Evaluation

## 2. Implementation

- Appropriateness of the management structures and procedures
- Quality and relevant experience of the individual participants
- Quality of the consortium as a whole (including complementarity, balance)
- Appropriate allocation and justification of the resources to be committed (staff, equipment)

# Collaborative projects - Evaluation

## 3. Impact

- Contribution at the European or international level to the expected impacts listed in the workprogramme under the relevant activity
- Appropriateness of measures for the dissemination and/or exploitation of project results, and management of intellectual property

# Specific requirements for coordinated call Evaluation

*FP7-ICT-2011-EU-Brazil*

To ensure a genuine EU-Brazil cooperation, **priority** in the evaluation will be given to **proposals that demonstrate a balanced effort between the two coordinated projects and a research plan properly involving coordinated research activities between Europe and Brazil**. This will be reflected in the evaluation under the criteria "Impact" and "Implementation"; *(see Annex 2 of the Guide for Applicants for this call)*.



# Evaluation criteria scoring

- Scale of 1-5 (and 0)
- Criterion threshold 3/5
- Overall threshold 10/15

# Specific requirements for coordinated call Selection of Proposals

FP7-ICT-2011-EU-Brazil

- **Up to one proposal per topic will be funded under this call:** That is one proposal for each one of the five topics (1-5) implemented via Small or medium scale focused research projects (STREPs).
- **Proposals will only be selected for funding on the condition that their coordinated Brazilian project will be funded by the MTS of Brazil.** In the event that the highest-ranked proposal under a topic fails in the funding of its coordinated Brazilian project by the MTS of Brazil, selection will pass to the next ranked proposal etc.

# Timetable of coordinated call

## *FP7-ICT-2011-EU-Brazil*

<b>DATE</b>	<b>EC</b>	<b>Brazilian call</b>
<b>28 September, 2010</b>	<b>Publish Call</b>	<b>Publish Call</b>
<b>18 January, 2011</b>	<b>Close Call</b>	<b>Close Call</b>
<b>28 January 2011</b>	<b>Eligibility checks finished</b>	<b>Eligibility checks finished</b>
<b>1-3 February 2011</b>	<b>Evaluation Brussels</b>	
<b>11 February, 2011</b>	<b>Meeting to Agree Final Common List of Proposals (Video conference)</b>	
<b>25 February, 2011</b>		<b>Announcement of results</b>
<b>25 February, 2011</b>	<b>Invitation to negotiate</b>	
<b>End May</b>	<b>Ready to Sign Contracts</b>	
<b>1 June 2011</b>	<b>Project start</b>	



# Getting help with your proposal

## The ICT theme provides:

- Information events (like this one) - Information Desk
- A supporting website of advice, information and documentation <http://cordis.europa.eu/fp7/ict/>
- Model grant agreements, guides, checklists, etc. at: [http://cordis.europa.eu/fp7/find-doc\\_en.html](http://cordis.europa.eu/fp7/find-doc_en.html)
- An ICT Information desk for proposers' questions [ict@ec.europa.eu](mailto:ict@ec.europa.eu)
- An IPR Helpdesk <http://www.ipr-helpdesk.org/index.html>
- A Helpdesk for electronic proposal submission [support@epss-fp7.org](mailto:support@epss-fp7.org)

And a network of National Contact Points in Europe and beyond:

<http://cordis.europa.eu/ist/ncps.htm>